

Lecture 10 Rayleigh and Mie Scattering

Review of some scattering/absorption (extinction) parameters (see Lec 6&7 for details):

1. Scattering/absorption coefficient:

$$\beta_{s\lambda}, \beta_{a\lambda} \quad \text{Unit: } m^{-1}$$

2. Mass scattering/absorption coefficient (Specific scattering/absorption cross-section)

$$k_{s\lambda}, k_{a\lambda} \quad \text{Unit: } \frac{\text{area}}{\text{mass}}$$

$$\beta_{s\lambda} = \rho k_{s\lambda} \quad \beta_{a\lambda} = \rho k_{a\lambda}$$

Where ρ is the density of the medium.

3. Extinction coefficient:

$$\beta_{e\lambda} = \beta_{s\lambda} + \beta_{a\lambda}$$

$\beta_{e\lambda}$ is the rate of power attenuated per unit distance due to extinction.

4. Mass extinction coefficient

$$k_{e\lambda} = k_{a\lambda} + k_{s\lambda} \quad \text{and} \quad \beta_{e\lambda} = \rho k_{e\lambda}$$

$k_{e\lambda}$ has dimensions of area per mass. $k_{e\lambda}$ is also considered as *an extinction cross-section* ($\sigma_{e\lambda}$, see definition below) per unit mass.

Some new scattering/absorption (extinction) parameters:

1. Scattering/absorption cross section: $\sigma_{a\lambda}, \sigma_{s\lambda}$

Previously, the mass scattering/absorption coefficient was defined by finding the proportionality between the volume scattering/absorption coefficient ($\beta_{a\lambda}, \beta_{s\lambda}$) and the mass density ρ of the medium. Now the scattering/absorption cross section ($\sigma_{a\lambda}, \sigma_{s\lambda}$) is defined by relating the volume scattering/absorption coefficient ($\beta_{a\lambda}, \beta_{s\lambda}$) to the number density (concentration N_p) of particles within the medium:

$$\beta_{a\lambda} = N_p \sigma_{a\lambda}, \quad \beta_{s\lambda} = N_p \sigma_{s\lambda}$$

The constants of above proportionalities $\sigma_{a\lambda}, \sigma_{s\lambda}$ has dimensions of area (m^2). $\sigma_{a\lambda}, \sigma_{s\lambda}$ are referenced to a single particle, not a mass of medium as $\beta_{s\lambda}, \beta_{a\lambda}$ or $k_{s\lambda}, k_{a\lambda}$.

2. Extinction cross-section: $\sigma_{e\lambda} = \sigma_{s\lambda} + \sigma_{a\lambda}$

The extinction cross-section $\sigma_{e\lambda}$ can be thought of as the equivalent area of an opaque object blocking the same total amount of radiation. $\sigma_{e\lambda}$ is different with the extinction coefficient $k_{e\lambda}$ in that $\sigma_{e\lambda}$ is referenced to a single particle while $k_{e\lambda}$ is referenced to a unit mass. Since $k_{e\lambda}$ is an extinction cross per unit mass, we have:

$$\sigma_{e\lambda} = k_{e\lambda} m$$

where m is the mass per particle

3. Scattering and absorption efficiencies:

$$Q_{s\lambda} \equiv \frac{\sigma_{s\lambda}}{A} \quad Q_{a\lambda} \equiv \frac{\sigma_{a\lambda}}{A}$$

Where A is the geometric cross-sectional area of the particle. In case of a spherical droplet, $A = \pi r^2$, where r is the radius.

4. Extinction efficiency: $Q_{e\lambda} = Q_{s\lambda} + Q_{a\lambda}$

In the visible and IR band, a single cloud droplet has an extinction cross-section that is similar to, but not identical to its geometric cross-section A . It is possible that a particle can extinguish more radiation than its geometric cross-section would imply. In another word, $Q_{e\lambda}$ could be greater than 1!

Relative index of refraction:

$$m = \frac{N_2}{N_1}$$

Where N_1 : complex refractive index of the particle; N_2 : complex refractive index of the surrounding medium (which is the air for meteorology). Recall $N = n_r + i n_i$, Note that n_r governs the phase speed of propagation of a wave within the material; n_i governs absorption.

N_1 is usually taken to be equal to 1 for air, so m approximates to N_2 .

N_2 depends on both the composition of the particles and on the wavelength λ .

Rayleigh Scattering:

Size parameter ($x = \frac{2\pi r}{\lambda}$) range: $x < 0.2$ (or sometimes referred to as $x \ll 1$)

Rayleigh Solution: to answer how much radiation a small particle scatters and/or absorbs in the atmosphere. The solution for Rayleigh extinction efficiency Q_e is (a complex number):

$$Q_e = 4x I_m \left\{ \frac{m^2 - 1}{m^2 + 2} \left[1 + \frac{x^2}{15} \left(\frac{m^2 - 1}{m^2 + 2} \right) \frac{m^4 + 27m^2 + 38}{2m^2 + 3} \right] \right\} + \frac{8}{3} x^4 R \left\{ \left(\frac{m^2 - 1}{m^2 + 2} \right)^2 \right\}$$

$$Q_s = \frac{8}{3} x^4 \left| \frac{m^2 - 1}{m^2 + 2} \right|^2$$

Where $I_m \{ \}$ means taking the imaginary part, and $R \{ \}$ means taking the real part, x is size parameter, m is the relative index of refraction of the scattering particles.

Since $Q_a = Q_e - Q_s$, for sufficiently small x ,

$$Q_a = 4x I_m \left\{ \frac{m^2 - 1}{m^2 + 2} \right\}$$

Interpretation:

- 1) $Q_a \propto x$; $Q_s \propto x^4$, the absorption efficiency is proportional to size parameter x , while the scattering efficiency is proportional to x^4 .
- 2) For sufficiently small x , assuming m has non-zero imaginary part: $Q_s \ll Q_a$ & $Q_a \approx Q_e$
- 3) The single scattering albedo $\omega = \frac{Q_s}{Q_e} \propto x^3$, is proportional to x^3 .

Key facts about scattering and absorption in Rayleigh Regime:

- 1) $\sigma_s = Q_s A = \pi r^2 \cdot Q_s$, $\sigma_s \propto r^2 \cdot \chi^4 \sim \frac{r^6}{\lambda^4}$, scattering cross section is proportional to $\frac{r^6}{\lambda^4}$.
Therefore: A): if you have radiation of fixed wavelength λ and use it to illuminate two particles of radius $r_1 < r_2$, the larger particle will scatter the radiation more strongly by a factor of $(r_2/r_1)^6$

— **This is of central importance of weather radar (larger particles produce much larger reflectivity than smaller particle).**

- B): If you have a particle of fixed size and expose it to radiation with two different wavelengths $\lambda_1 < \lambda_2$, then it will scatter the shorter wavelength λ_1 more strongly by a factor of $(\lambda_2/\lambda_1)^4$.

— **This fact explains why the sky is blue (blue light has smaller wavelength than other colored lights in visible band).**

- 2) For sufficiently small particles with complex (not pure real) refractive index m , scattering is negligible and absorption is proportional to mass path only, independent on particle size.

— **This fact is relevant to passive microwave remote sensing of cloud water, where we don't need to consider particle size variations.**

$$\begin{aligned} \text{since } k_a &= \frac{\text{area}}{\text{mass}} = \frac{\sigma_a}{\text{mass}} = \frac{Q_a \pi r^2}{\rho \cdot \left(\frac{4}{3}\right) \pi r^3} \\ &= \frac{3Q_a}{4\rho r} = \frac{6\pi}{\rho\lambda} I_m \left\{ \frac{m^2 - 1}{m^2 + 2} \right\} \\ &\Rightarrow k_a \text{ is independent on } r. \end{aligned}$$

Where r is the radius of the water droplet, ρ is the density of water

Mie Solution: (for a spheric particle)

$$\begin{aligned} Q_e &= \frac{2}{x^2} \sum_{n=1}^{\infty} (2n + 1) R(a_n + b_n) \\ Q_s &= \frac{2}{x^2} \sum_{n=1}^{\infty} (2n + 1) (|a_n|^2 + |b_n|^2) \end{aligned}$$

Where the coefficients a_n and b_n are Mie Scattering Coefficients and are functions of x and m . n is any integer.

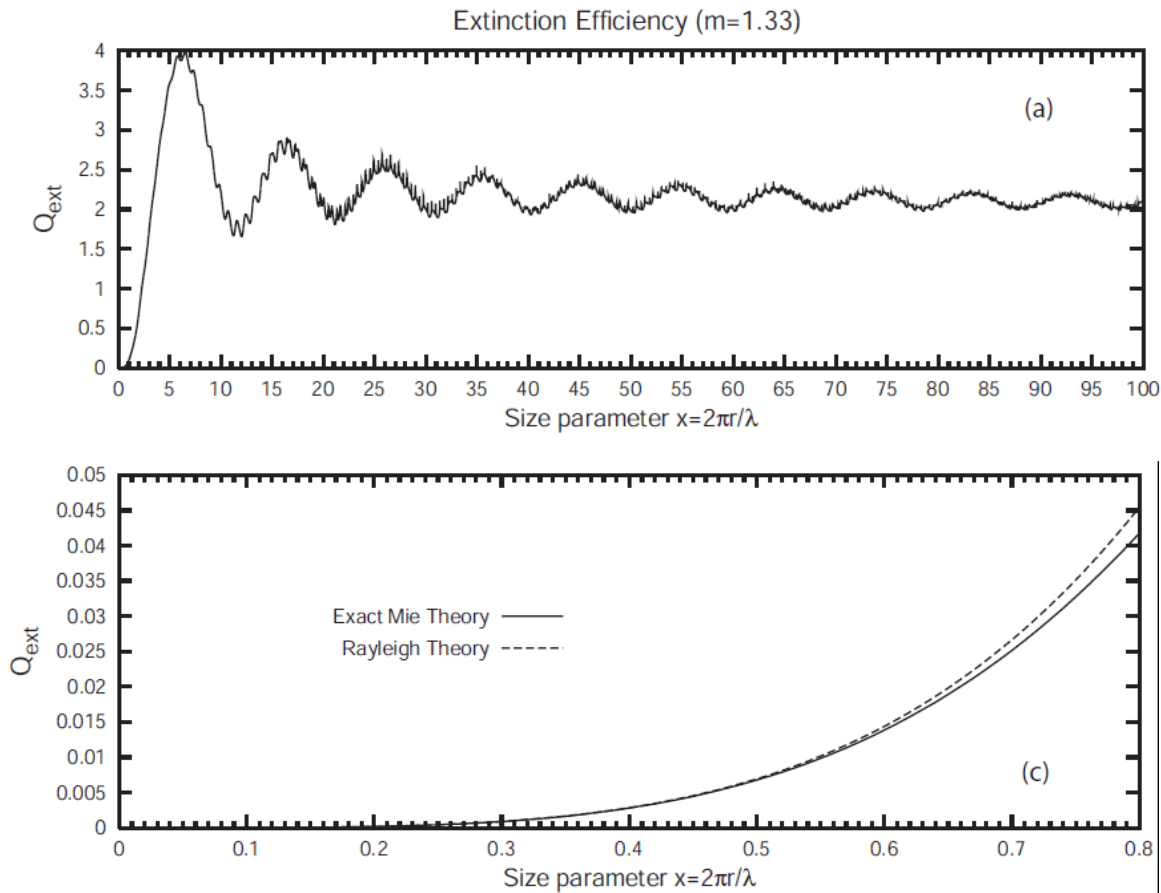


Fig. 12.4 from Petty's textbook: The extinction efficiency Q_e as a function of size parameter x for a non-absorbing sphere with relative refractive index $m=1.33$ for various ranges of x . (a) "Big picture" view showing that $Q_e \rightarrow 2$ as $x \rightarrow \infty$. (c) Detail for $x < 0.8$, comparing the Rayleigh (small particle) approximation and exact Mie theory.

Explanation Petty's textbook Fig. 12.4:

- a) — Extinction efficiency Q_e increases as size parameter x increases up to $x = 6$, where $Q_e = 4$; after $x > 6$, $Q_e \rightarrow 2$ as $x \rightarrow \infty$ (extinction efficiency Q_e oscillates around 2 as size parameter x increases up to infinity)
- b) — Rayleigh Approximation is good up to $x = 0.6$ for a non-absorbing sphere with relative refractive index $m=1.33$.