Sharp isoperimetric inequalities in the plane*

Vincenzo Ferone Università di Napoli "Federico II"

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* Joint works with A. Alvino and C. Nitsch

Isoperimetric inequality

Let $E \subset \mathbb{R}^n$ be a measuble set of finite measure, then:

$$n\omega_n^{1/n}|E|^{1-1/n} \leq Per(E).$$

Equality holds true if and only if E is a ball. (ω_n denotes the measure of the unit ball in \mathbb{R}^n)

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In other words, it holds:

 $Per(E^{\sharp}) \leq Per(E),$

where E^{\sharp} is a ball such that $|E^{\sharp}| = |E|$.

[E. De Giorgi, 1954]

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When n = 2 it reads as:

$$2\sqrt{\pi|E|} \leq Per(E).$$



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 $|E| = |E^*|;$

• the perimeter decreases

 $Per(E) \geq Per(E^*).$

A classical question

Let $E \subset \mathbb{R}^2$ be a bounded set of finite perimeter with measure |E| and let us suppose that

$$Per(E) - 2\sqrt{\pi |E|}$$
 "is small".

Is it possible to say that E is "close" to a circle?

[F. Bernstein, 1905], [T. Bonnesen, 1921, 1924, 1929], [R. Osserman, 1979], [B. Fuglede, 1989], [H. Groemer - R. Schneider, 1991], [S. Campi, 1992], [R.R. Hall, 1992], [R.R. Hall - W.K. Hayman, 1993], [N. Fusco - F. Maggi - A. Pratelli, 2008]

Bonnesen type inequalities

In 1924 Bonnesen has proved the following inequality concerning convex sets $E \subset \mathbb{R}^2$:

$$\operatorname{Per}(E)^2 - 4\pi |E| \ge 4\pi d^2,$$

where d is the width of the smallest circular annulus which contains ∂E . The constant 4π which appears on the right hand side is sharp.

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$${\sf Per}(E)^2 - 4\pi |E| \geq \lambda(E)$$

λ(E) ≥ 0;

- $\lambda(E) = 0$ only when E is a disk;
- $\lambda(E)$ measures the asymmetry of E.

[R. Osserman, 1979]

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Some definitions

Fraenkel asymmetry of a set

$$\alpha(E) = \min_{x \in \mathbb{R}^n} \frac{|E \Delta B_r(x)|}{|E|}, \qquad |B_r(x)| = |E|,$$

where $B_r(x)$ denotes the ball centered at the point x of radius r, and, as usual, $E \Delta F$ stands for the symmetric difference of two sets E and F.

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Hausdorff asymmetry of a set

$$\delta(E) = \min_{x \in \mathbb{R}^n} d_H(E, B_r(x)), \qquad |B_r(x)| = |E|,$$

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Isoperimetric deficit of a set

$$\Delta P(E) = \frac{Per(E) - Per(B_r)}{Per(B_r)}, \qquad |B_r| = |E|.$$

Question

The isoperimetric inequality can be written as

$\Delta P(E) \geq 0$

or, equivalently,

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Is it possible to estimate $\alpha(E)$ or $\delta(E)$ in terms of $\Delta P(E)$?

For instance, is it possible to obtain an inequality in the form

 $\Delta P(E) \geq f(\alpha(E))$

for some function $f \ge 0$?

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Quantitative isoperimetric inequalities

Theorem For every convex set $E \subset \mathbb{R}^n$ with $0 < |E| < \infty$ it holds:

$$\delta(E) \leq \begin{cases} c(\Delta P(E))^{1/2} & \text{for } n = 2\\ c\left(\Delta P(E)\log\frac{1}{\Delta P(E)}\right)^{1/2} & \text{for } n = 3\\ c(\Delta P(E))^{\frac{2}{n+1}} & \text{for } n \ge 4 \end{cases}$$

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Theorem There exists a constant c(n) such that for every Borel set $E \subset \mathbb{R}^n$ with $0 < |E| < \infty$ it holds:

 $\alpha(E) \leq c(n) \Delta P(E)^{1/2},$

or, recalling that $Per(B_r) = n\omega_n^{1/n} |E|^{1-1/n}$,

$$Per(E) \ge n\omega_n^{1/n} |E|^{1-1/n} (1 + c\alpha(E)^2).$$

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Isoperimetric inequalities

A quantitative isoperimetric inequality in the plane

Theorem If $E \subset \mathbb{R}^2$ is convex it holds:

$$\Delta P(E) \geq rac{\pi}{8(4-\pi)} lpha(E)^2 - c lpha(E)^3,$$

where c is an absolute constant, while the constant $\frac{\pi}{8(4-\pi)}$ is sharp.

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Remark The proof is based on an inequality concerning the coefficients of the Fourier series of the support function of the set E. The constant c is not known and it could also be zero.

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Isoperimetric inequalities

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- Stability results for radial symmetry of solutions to overdetermined boundary value problems

Aftalion, Bianchi, Brandolini, Busca, Cianchi, Egnell, Esposito, A. Ferone, Fusco, Henrot, Maggi, Nitsch, Pratelli, Reichel, Rosset, Salani, C. Trombetti, ...

An isoperimetric inequality for the deficit

Theorem Let $E \subset \mathbb{R}^2$ be convex. There exists an unique set \widehat{E} in a certain class \mathfrak{C} such that

$$\alpha(E) = \alpha(\widehat{E}) \quad \text{and} \quad \Delta P(E) \ge \Delta P(\widehat{E}) \quad (i.e., \ Per(E) \ge Per(\widehat{E})).$$

[A. Alvino - V.F. - C. Nitsch, JEMS (to appear)]

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Furthemore, for any admissible value of α , there exists an unique convex set for which equality holds in the above inequality.

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Image: A matrix

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A family in the set ${\mathfrak C}$



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Analytic description of the family $\operatorname{\mathfrak{C}}$

Let us consider a set in \mathfrak{C} as in the figure, it is possible to write ΔP and α as follows:

$$\Delta P(E_{\theta}) = \frac{2}{\pi} \left(\left(\frac{\sin \theta}{\cos x} \left(\frac{\pi}{2} - x \right) + \frac{\cos \theta}{\sin x} x \right) - \frac{\pi}{2} \right)$$



$$\alpha(E_{\theta}) = \frac{4}{\pi} \left(\frac{\sin^2 \theta}{\cos^2 x} \left(\frac{\pi}{2} - x - \sin x \cos x \right) - \theta + \sin \theta \, \cos \theta \right)$$

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where θ and x satisfy the equality:

$$\frac{\sin^2\theta}{\cos^2 x} \left(\frac{\pi}{2} - x\right) + \frac{\cos^2\theta}{\sin^2 x} x - \frac{(\cos\theta - \tan x\,\sin\theta)^2}{\tan x} = \frac{\pi}{2}$$

which can written as:

$$\tan \theta = \frac{1}{\tan x} \frac{\pi \sin^2 x - 2x + 2 \sin x \cos x}{2x - \pi \sin^2 x + 2 \sin x \cos x}$$

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It is possible to obtain the following expansion in $\theta=\pi/4$:

$$\frac{\Delta P(E_{\theta})}{\alpha(E_{\theta})^2} = \frac{\pi}{8(4-\pi)} - \left(\frac{\pi}{4}\right)^3 \frac{(16-5\pi)(14-3\pi)}{6(4-\pi)^4(\pi-2)} \alpha(E_{\theta})^2 + O\left(\alpha(E_{\theta})^4\right)$$







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An isoperimetric inequality for the deficit

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$$\delta(E) = \delta(\overline{E})$$
 and $Per(E) \ge Per(\overline{E})$.

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Furthemore, for any admissible value of δ , there exists an unique convex set for which equality holds in the above inequality.

The family \mathfrak{L}

We say that a convex set E belongs to the family \mathfrak{L} (*lenses*) if E is symmetric with respect to a straight line and the part of it which stays on one side of the line coincides with a circular segment.



Analytic description of the family ${\mathfrak L}$

It is possible to parametrize the sets in \mathfrak{L} with a given fixed measure in terms of the Hausdorff asymmetry index. So, we can denote by Y_{δ} the set in \mathfrak{L} such that:

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A straightforward calculation gives:

$$4\pi^{2} = \lim_{\delta \to 0} \frac{P(Y_{\delta})^{2} - 4\pi |Y_{\delta}|}{\delta^{2}} > \frac{P(Y_{\delta})^{2} - 4\pi |Y_{\delta}|}{\delta^{2}} > \lim_{\delta \to +\infty} \frac{P(Y_{\delta})^{2} - 4\pi |Y_{\delta}|}{\delta^{2}} = 16.$$

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Corollary Every convex set $\Omega \in \mathbb{R}^2$ satisfies the inequalities

$$P(\Omega)^2 - 4\pi |\Omega| \ge 16\delta(\Omega)^2,$$

$$P(\Omega)^2 - 4\pi |\Omega| \ge \delta(\Omega)^2 (4\pi^2 - H(\delta(\Omega))),$$

for some positive $H(\delta) = O(\delta)$.

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- the isoperimetric deficit does not increase;
- the symmetry properties "improve".

Steiner symmetrization cannot be used as the first step

Let us consider a set and the circle having the same measure.



Steiner symmetrization cannot be used as the first step

Let us consider the circle in the optimal position.



Steiner symmetrization cannot be used as the first step

Let us symmetrize the set with respect to the vertical axis.



Let $E \subset \mathbb{R}^2$ be convex. We build a 2-symmetric set \widetilde{E} such that:

 $\alpha(E) = \alpha(\widetilde{E}), \qquad \Delta P(E) \ge \Delta P(\widetilde{E}). \tag{1}$

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For a given convex set E we consider a circle B(|B| = |E|) which realizes the minimum in Fraenkel asymmetry. From now on such a circle will be fixed and its center will be chosen as the origin of reference axes.



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The simplest case is shown in the figure: the boundary of E is cut by the boundary of B exactly in four pieces, two pieces are external to B, two pieces are internal.



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Using the fact that B realizes the minimum in Fraenkel asymmetry, one immediately has that the red and the blue arcs in the figure have to be equal.



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At this point we can apply Steiner Symmetrization with respect to the coordinate axes obtaining a set \tilde{E} which satisfies (1).

Remark We observe that the conclusion about the equality of the circular arcs comes from the property about the projections of such arcs described below.

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The reduction to a 2-symmetric set (continued)

Let us analyze the general case. Typical situations are given in the figures.

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E is an equilateral triangle

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Let us analyze the general case. Typical situations are given in the figures.



How is it possible to reduce these general situations to the simple one we have already studied?

Circular symmetrization



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Circular symmetrization



Schwarz symmetrization for functions of one variable





Image: A matrix



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A lemma about projections



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A lemma about projections



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A lemma about projections



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General case

Let us introduce the following notation:

- $\Gamma_+ = \partial B \cap E$;
- $\Gamma_{-} = \partial B \setminus \overline{E};$
- $\Gamma_0 = \partial B \cap \partial E$;

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General case

Let us introduce the following notation:

- $\Gamma_+ = \partial B \cap E$;
- $\Gamma_{-} = \partial B \setminus \overline{E};$
- $\Gamma_0 = \partial B \cap \partial E$;
- γ^i_+ $(i \in \mathfrak{I} \equiv \{1, 2, \dots\} \subseteq \mathbb{N})$ connected components of Γ_+ ;
- $\gamma^k_ (k \in \mathfrak{K} \equiv \{1, 2, \dots\} \subseteq \mathbb{N})$ connected components of Γ_- ;
- L length of the arcs.

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General case

Let us introduce the following notation:

- $\Gamma_+ = \partial B \cap E$;
- $\Gamma_{-} = \partial B \setminus \overline{E};$
- $\Gamma_0 = \partial B \cap \partial E$;
- γ^i_+ ($i \in \mathfrak{I} \equiv \{1, 2, \dots\} \subseteq \mathbb{N}$) connected components of Γ_+ ;
- γ^k_− (k ∈ 𝔅 ≡ {1,2,...} ⊆ ℕ) connected components of Γ_−;
- L length of the arcs.

Lemma If $L(\Gamma_0) = 0$, for every $i \in \mathfrak{I}$ and $k \in \mathfrak{K}$ it holds:

$$\begin{split} L(\gamma^{i}_{+}) &\leq \sum_{j \neq i} L(\gamma^{j}_{+}), \\ L(\gamma^{k}_{-}) &\leq \sum_{j \neq k} L(\gamma^{j}_{-}). \end{split}$$

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General case

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- $\Gamma_+ = \partial B \cap E$;
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- γ^i_+ $(i \in \mathfrak{I} \equiv \{1, 2, \dots\} \subseteq \mathbb{N})$ connected components of Γ_+ ;
- $\gamma^k_ (k \in \mathfrak{K} \equiv \{1, 2, \dots\} \subseteq \mathbb{N})$ connected components of Γ_- ;
- L length of the arcs.

Lemma There exists $0 \le \overline{\eta} \le 1$ such that, for every $i \in \mathfrak{I}$ e $k \in \mathfrak{K}$, it holds:

$$L(\gamma^i_+) \leq \sum_{j \neq i} L(\gamma^j_+) + \bar{\eta}L(\Gamma_0)$$

$$L(\gamma_{-}^{k}) \leq \sum_{j \neq k} L(\gamma_{-}^{j}) + (1 - \overline{\eta})L(\Gamma_{0}).$$

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The family \mathfrak{B}



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End of the proof



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End of the proof



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Regularity C^1

If the boundary is not of class C^1 , there are two possible cases.



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Regularity C^1



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It is not difficult to restore the initial values of area and asymmetry index without increasing the perimeter.



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As in the previous case, it is possible to choose ε , d and s in such a way that the initial values of area and asymmetry index are restored without increasing the perimeter.