

# $x > 1$ in QCD evolution and collider experiments

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# Nuclear parton distributions

- PDF of the free proton has been studied by many experiments.
- Experiments at vastly different energy scales are connected by **QCD evolution**.
- The PDF of the nucleus and its evolution require further studies.
- The nuclear PDF is defined using a **scaled** momentum fraction

$$x_A = A \frac{p_{\text{parton}}^+}{p_A^+}$$

which allows  $x_A > 1$ .

- $x_A > 1$  is interesting because it is a purely nuclear effect.
- $x_A > 1$  partons also evolve, and can evolve into  $x_A \lesssim 1$  partons.

# Convolution approach

- For moderate-to-high  $Q^2$  (and  $x \gtrsim 0.2$ ), a convolution equation can be derived:

$$f_{i/A}(x_A, Q^2) = \sum_N \int_{x_A}^A d\alpha \int d^2\mathbf{p}_\perp f_{i/N}^{(b)}\left(\frac{x_A}{\alpha}, \alpha, \mathbf{p}_\perp, Q^2\right) f_{N/A}(\alpha, \mathbf{p}_\perp)$$

- $f_{i/N}^{(b)}\left(\frac{x_A}{\alpha}, \alpha, \mathbf{p}_T, Q^2\right)$  is the **bound nucleon PDF**, which may differ from the free PDF due to medium effects.
- $f_{N/A}(\alpha, \mathbf{p}_\perp)$  is the **light cone fraction distribution** (LCFD) of the nucleus; it describes nucleonic motion.
- This approach allows  $x_A > 1$  depending on how large the LCFD is at  $\alpha \gg 1$ .
- Measurements of  $x_A \gtrsim 1.3$  (meaning  $k \gtrsim k_{\text{Fermi}}$ ) are likely to indicate **short range correlations**.

# Nuclear light cone fraction distribution

- The LCFD  $f_{N/A}(\alpha, \mathbf{p}_\perp)$  ( $N = p, n$ ) describes the distribution of nucleons over (scaled) light cone momentum fractions  $\alpha = A p_N^+ / p_A^+$ .

- It satisfies **baryon number conservation** and **momentum conservation**:

$$\sum_{N=p,n} \int_0^A d\alpha \int d^2 \mathbf{p}_\perp f_{N/A}(\alpha, \mathbf{p}_\perp) = A$$

$$\sum_{N=p,n} \int_0^A d\alpha \int d^2 \mathbf{p}_T \alpha f_{N/A}(\alpha, \mathbf{p}_\perp) = A$$

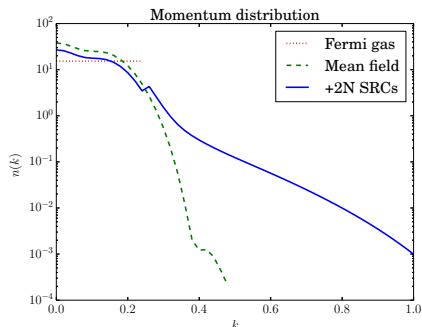
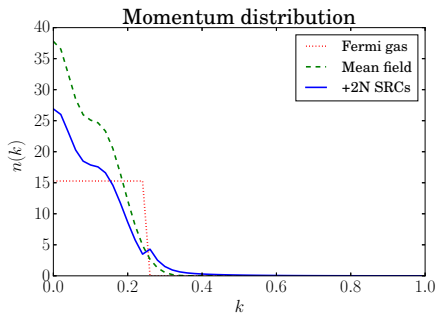
- It can be decomposed to parts that contribute to  $j$  removed nucleons in the final state:

$$f_{N/A}(\alpha, \mathbf{p}_T) = f_{N/A}^{(1)}(\alpha, \mathbf{p}_\perp) + f_{N/A}^{(2)}(\alpha, \mathbf{p}_\perp) + f_{N/A}^{(3)}(\alpha, \mathbf{p}_\perp) + \dots$$

- These contributions add incoherently because the final states are orthogonal.
- $f_{N/A}^{(1)}(\alpha, \mathbf{p}_\perp)$  (one-nucleon removal) is due to the **mean field**.

# Short range correlations

- A short range correlation (SRC) occurs when a few nucleons cluster closely, feeling only each others' influence.
- 2N SRCs occur when two nucleons are closely spaced.
- Due to the small distance, their relative momentum is huge—SRCs dominate when  $k \gtrsim 250$  MeV (Fermi momentum).
- The result is a fat tail not reproduced by mean field models.



# Two-nucleon SRCs

- The behavior of 2N correlations is universal between nuclei.
- There are scaling plateaus for  $x \gtrsim 1.4$  in the ratio of  $2\sigma_{eA}$  to  $A\sigma_{ed}$
- There is asymmetric momentum sharing between protons and neutrons, suggesting dominance of  $pn$  pairs in 2N SRCs.
- 2N SRCs behave like a scaled version of the deuteron momentum distribution, so we use

$$f_{N/A}^{(2)}(\alpha, \mathbf{p}_\perp) = \frac{a_2(A)}{2\chi_N} \frac{|\psi_d(k)|^2}{\alpha(2-\alpha)} E_k \Theta(k - k_F)$$

where  $\chi_N$  is the relative fraction of the nucleon type, and

$$k = \sqrt{\frac{m^2 + p_\perp^2}{\alpha(2-\alpha)} - m^2}$$

is the internal light cone momentum.

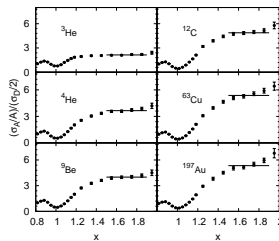


Figure: Fomin *et al.* PRL 108, 092502 (2012)  
[arXiv:1107.3583]

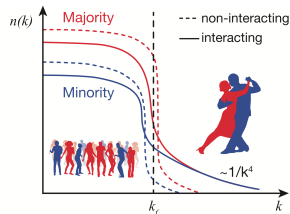


Figure: Hen *et al.*, Science 346, 614 (2014)  
[arXiv:1412.0138]

*cf.* Sargsian, PRCC89, 034305 (2014)  
[arXiv:1210.3280] for theory behind this.

# Three-nucleon SRCs

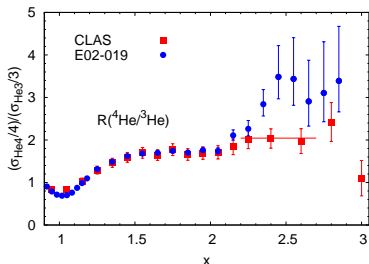
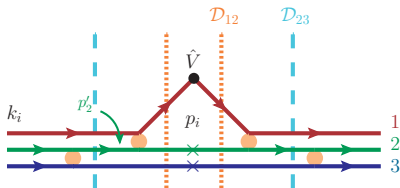


Figure: Fomin *et al.* PRL 108, 092502 (2012)  
[arXiv:1107.3583]

- We model 3N SRCs as arising from two subsequent 2N SRCs.
- Model accounts for  $pn$  dominance.
- Characteristic topology on right.
- Effective Feynman rules with effective vertices used.





# Three-nucleon SRCs

Three-nucleon SRCs are expected to exist for  $\alpha > 2$ . Our calculation has found:

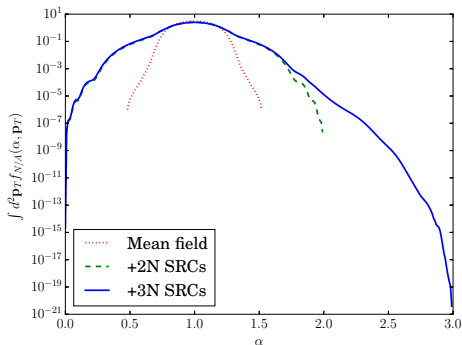
$$f_{N/A}^{(3)}(\alpha, \mathbf{p}_\perp) = \{a_2(A)\}^2 \frac{1}{\alpha} \int \frac{d\alpha_3 d^2 \mathbf{p}_{3\perp}}{\alpha_3 (3 - \alpha - \alpha_3)} \left\{ \frac{3 - \alpha_3}{2(2 - \alpha_3)} \right\}^2$$

$$|\psi_d(k_{23})|^2 \Theta(k_{23} - k_F) |\psi_d(k_{12})|^2 \Theta(k_{12} - k_F)$$

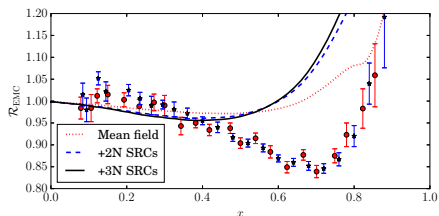
- $\alpha_3$  and  $\mathbf{p}_{3\perp}$  are the light cone fraction and transverse momentum of a spectator.
- $k_{12}$  and  $k_{23}$  are relative light cone momenta of interacting pairs.

$$k_{12}^2 = \frac{(3 - \alpha_3)^2}{4} \times \left[ \frac{\left( \frac{2\alpha}{3 - \alpha_3} - 1 \right)^2 m_N^2 + \left( \mathbf{p}_\perp + \frac{\alpha}{3 - \alpha_3} \mathbf{p}_{3\perp} \right)^2}{\alpha_1 (3 - \alpha - \alpha_3)} \right]$$

$$k_{23}^2 = \frac{(1 + \alpha_3)^2 m_N^2 + \mathbf{p}_{3\perp}^2}{\alpha_3 (2 - \alpha_3)}$$



# Medium Modifications and the EMC effect



- The dip in this ratio has been seen in many experiments.
- First seen by **European Muon Collaboration** in 1983; called the EMC effect.
- Strength of effect proportional to local nuclear density.
- More modification expected in SRCs; nucleons in SRCs have higher momentum.

$$\bullet \mathcal{R} = \frac{2}{A} \frac{\sigma_{eA}(x, Q^2)}{\sigma_{ed}(x, Q^2)}$$

- A free nucleon parametrization<sup>†</sup> is used.
- $f_{N/A}(x, Q^2)$  we've found with a free nucleon PDF gives a bad fit.
- Medium modification is needed.
- The fit with SRCs is worse, so SRCs must be more highly modified.

<sup>†</sup>: Bodek and Ritchie, Phys. Rev. D24, 1400 (1981).

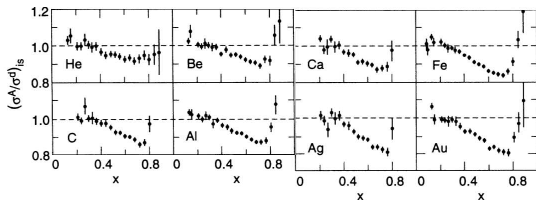


Figure from Gomez *et al.*, PRD 49, 4348 (1994).

# Color screening model

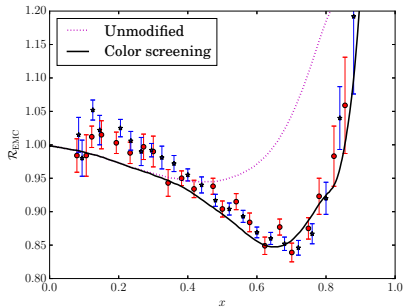
For estimation, I use one example of a medium modification model.

$$f_{i/N}^{(\text{bound})}(x, Q^2, p^2) = \frac{1}{(1+z)^2} f_{i/N}^{(\text{free})}(x, Q^2) \quad z = \frac{p^2/m_N^2 + 2\epsilon_A}{\Delta E_A}$$

$\epsilon_A$  is binding energy per nucleon and  $\Delta E_A$  a characteristic nucleon excitation energy

This is called the color screening model of the EMC effect.  
cf. Frankfurt and Strikman, Nucl. Phys. **B250** (1985), 143

- The color screening model fits the EMC data well.
- Sample:  $^{56}\text{Fe}$  at  $Q^2 = 10 \text{ GeV}^2$ . Data are from papers by Gomez and Albert.
- The low- $x \lesssim 0.2$  is due to different dynamics (outside the scope of this research).



# Applications of nuclear PDFs

$$f_{i/A}(x_A, Q^2) = \sum_N \int_{x_A}^A d\alpha \int d^2\mathbf{p}_\perp f_{i/N}^{(b)}\left(\frac{x_A}{\alpha}, \alpha, \mathbf{p}_\perp, Q^2\right) f_{N/A}(\alpha, \mathbf{p}_\perp)$$

- This formalism for nuclear PDFs has many potential applications:
  - 1 Dijet production in  $pA$  collisions (to probe SRCs).
  - 2  $eA$  scattering (inclusive and semi-inclusive) at high (EIC) energies.
  - 3 Drell-Yan processes in  $pA$  collisions.
- I will focus on  $pA$  collisions at LHC energies here.

*cf.* AF, Sargsian, and Strikman, Eur. Phys. J. C **75**, 534 (2015) [arXiv:1411.6605] for a detailed account.

# Jet kinematics

- $pA \rightarrow 2 \text{ jets} + X$  occurs (at leading order) through a two-body to two-body partonic reaction.
- The reaction is described by four kinematic parameters:  $E_0$  (energy per proton),  $\eta_3$ ,  $\eta_4$ , and  $p_T$ .
- From these, the light cone momentum fractions  $x$  of the initial partons are:
  - 1  $x_p = \frac{p_T}{2E_0} (e^{+\eta_3} + e^{+\eta_4})$  from proton.
  - 2  $x_A = \frac{A p_T}{2ZE_0} (e^{-\eta_3} + e^{-\eta_4})$  from nucleus.
- Since the motion of  $N$  is unknown and variable, all parameters (e.g. rapidities) are given in the collider reference frame.

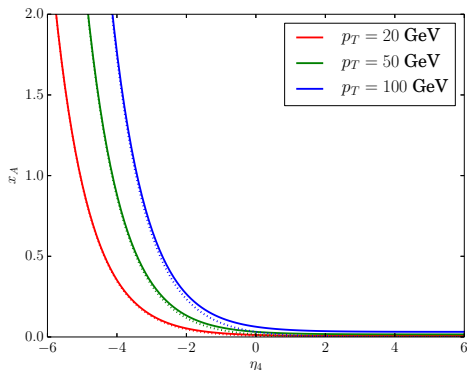
## Large $x_A$ at the LHC

The big question is: can we find large  $x_A$  at the LHC? (Would indicate SRCs.)

$$x_A = \frac{Ap_T}{2ZE_0} (e^{-\eta_3} + e^{-\eta_4})$$

Since  $\eta_4 < 0$  and  $\eta_3 > 0$  are most likely, we should look for:

- ① Large  $p_T$ .
- ② Small  $|\eta_3|$ . Jet from proton should be central.
- ③ Large  $|\eta_4|$ . Jet from nucleus should be forward.



## Dijet cross section

- The cross section for  $pA \rightarrow 2 \text{ jets} + X$  factorizes as such:

$$\sigma_{pA} = \sum_{ijkl} \int_0^1 dx_p \int_0^A dx_A f_{i/p}(x_p, Q^2) f_{j/A}(x_A, Q^2) \hat{\sigma}_{ij \rightarrow kl}$$

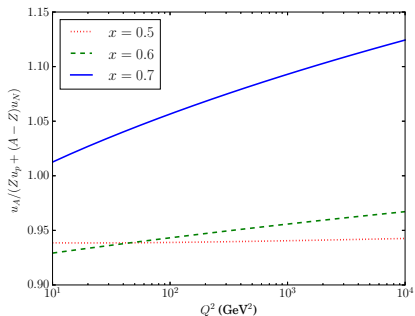
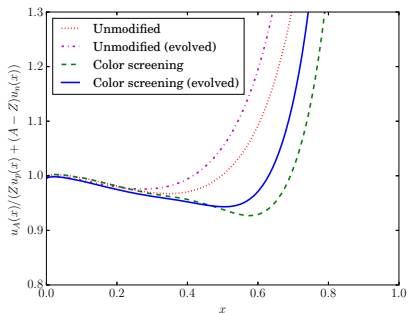
- $Q^2 = p_T^2$  (transverse jet momentum) minimizes NLO, N<sup>2</sup>LO, *etc.* corrections.
- This leads to a differential cross section of

$$\frac{d^3 \sigma_{pA}}{d\eta_3 d\eta_4 dp_T^2} = \frac{1}{16\pi} \frac{1}{(4E_0^2 \frac{Z}{A})^2} \frac{f_{i/p}(x_p, p_T^2)}{x_p} \frac{f_{j/A}(x_A, p_T^2)}{x_A} \overline{|\mathcal{M}_{ij \rightarrow kl}|^2} \frac{1}{1 + \delta_{kl}}$$

- $\mathcal{M}_{ij \rightarrow kl}$  is the tree-level matrix element for a parton-level process.
- The main theoretical issue is the nuclear PDF  $f_{j/A}(x, p_T^2)$ .
- But this is found by evolving the moderate- $Q^2$  nPDF found previously.

# Evolving medium-modified PDFs

- The color screening model was developed at moderate  $Q^2 \sim 10 \text{ GeV}^2$ .
- For nuclear PDFs at high  $Q^2$  (e.g.  $10^4 \text{ GeV}^2$ ), use QCD evolution.

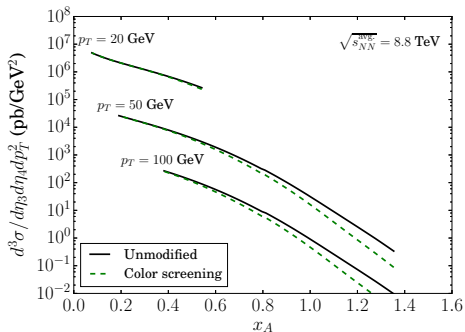
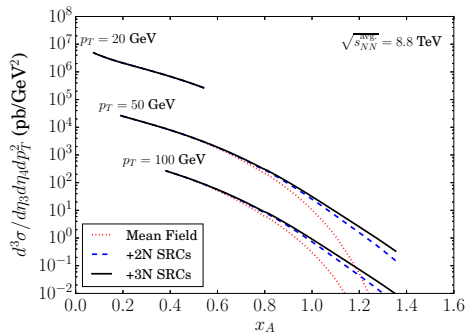


- The EMC effect evolves little, but fast parton motion evolves a lot.
- Since fast partons lose some of their  $x$ , the PDF shifts to the left with evolution.



# Numerical estimates of dijet cross section

## Numerical estimates of the three-fold cross section



- SRCs significantly increase differential cross section at  $x_A \gtrsim 1$ .
- Medium modifications suppress cross section at high  $x_A$ .

# Integrated cross sections

We have numerical predictions for the integrated cross section.  
At 7 TeV per proton,

	Unmodified (SRCs)	Modified (no SRCs)	Modified (SRCs)
All $x_A$	58 $\mu\text{b}$	55 $\mu\text{b}$	55 $\mu\text{b}$
$0.6 < x_A < 0.7$	1.7 $\mu\text{b}$	1.2 $\mu\text{b}$	1.3 $\mu\text{b}$
$0.7 < x_A < 0.8$	0.60 $\mu\text{b}$	0.37 $\mu\text{b}$	0.43 $\mu\text{b}$
$0.8 < x_A < 0.9$	0.20 $\mu\text{b}$	0.11 $\mu\text{b}$	0.13 $\mu\text{b}$
$0.9 < x_A < 1$	59 nb	20 nb	33 nb
$1 < x_A$	21 nb	3.0 nb	9.3 nb

The expected yield for  $x_A > 1$  events at the LHC is 326 events for a month of run time (based on previously achieved luminosity of  $35.5 \text{ nb}^{-1}$ ).

## QCD evolution for nuclei

- A slightly modified version of the DGLAP equation is used for nPDFs:

$$\frac{\partial f_{i/A}(x_A, Q^2)}{\partial \log(Q^2)} = \sum_j \frac{\alpha_s}{2\pi} \int_{x_A}^A \frac{dy}{y} f_{j/A}(y, Q^2) P_{ij} \left( \frac{x}{y} \right)$$

where  $P_{ij}$  are the Altarelli-Parisi splitting functions.

- The upper integration limit is  $A$  rather than 1.
- This means  $x_A > 1$  partons at low  $Q^2$  can become  $x_A < 1$  partons as  $Q^2$  is increased.
- This also gives a way of making  $x_A > 1$  predictions at very high  $Q^2$  using lower- $Q^2$ , high-precision measurements.

# Evolution of structure functions

- Evolution of the structure function

$$F_{2A}(x_A, Q^2) = \sum_i e_i^2 (q_{i/A}(x_A, Q^2) + \bar{q}_{i/A}(x_A, Q^2))$$

is relevant to connecting moderate- and high- $Q^2$  DIS experiments.

- At **leading order**, and at  $x \gtrsim 0.2$  where gluons can be neglected, we have derived a **single evolution equation** for  $F_{2A}(x, Q^2)$ :

$$\begin{aligned} \frac{\partial F_{2A}(x, Q^2)}{\partial \log Q^2} = & \frac{\alpha_s}{2\pi} \left\{ 2 \left( 1 + \frac{4}{3} \log \left( 1 - \frac{x}{A} \right) \right) F_{2A}(x, Q^2) \right. \\ & \left. + \frac{4}{3} \int_{x/A}^1 \frac{dz}{1-z} \left( \frac{1+z^2}{z} F_{2A} \left( \frac{x}{z}, Q^2 \right) - 2F_{2A}(x, Q^2) \right) \right\} \end{aligned}$$

- This is a **model-independent** way of connecting high- $x_A$  DIS measurements at different  $Q^2$ .

# Evolving $F_{2A}$

- $F_{2A}(x, Q^2)$  evolution can connect high-precision JLab measurements on  $^{12}\text{C}$  to high- $Q^2$  measurements from the BCDMS and CCFR collaborations.
- JLab measurements from Hall C are done at  $Q^2$  from 2-9  $\text{GeV}^2$ .
- **Target mass corrections** and **higher-twist corrections** must be accounted for.
- TMCs are accounted for by  $\xi$ -scaling; we evolve  $F_{2A}^{\text{LT}}(\xi_A, Q^2)$ , where:

$$\xi_A = \frac{2x_A}{1 + \sqrt{1 + \frac{4x_A^2 M_A^2}{A^2 Q^2}}}$$

- $\xi$ -scaling as a TMC method minimizes  $Q^2$  dependence of the data.
- HT corrections are accounted for with a fit:

$$F_{2A}^{\text{HT}}(\xi_A, Q^2) = \left(1 + \frac{C(\xi_A)}{Q^2}\right) F_{2A}^{\text{LT}}(\xi_A, Q^2)$$

- HT fit accommodates any differences between TMC methods.

# $\xi$ -scaling

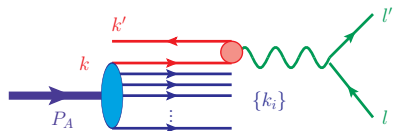
- $\xi_A$  is a modified **Nachtmann variable** equal to the **true (scaled) momentum fraction** of the nuclear parton.
- Breit frame kinematics give

$$\xi_A = \frac{2x_A}{1 + \sqrt{1 + \frac{4x_A^2 M_A^2}{A^2 Q^2}}}$$

if  $x_A = AQ^2/(2P_A \cdot q)$  is Bjorken  $x$ .

DGLAP is based on momentum fractions—evolution should be done in  $\xi_A$ .

$$\frac{\partial F_{2A}(\xi_A, Q^2)}{\partial \log Q^2} = \frac{\alpha_s}{2\pi} \left\{ 2 \left( 1 + \frac{4}{3} \log \left( 1 - \frac{\xi_A}{A} \right) \right) F_{2A}(\xi_A, Q^2) + \frac{4}{3} \int_{\xi_A/A}^1 \frac{dz}{1-z} \left( \frac{1+z^2}{z} F_{2A} \left( \frac{\xi_A}{z}, Q^2 \right) - 2F_{2A}(\xi_A, Q^2) \right) \right\}$$



$$k = \left( \frac{\xi_A}{A} P_A^+, 0; \mathbf{0}_\perp \right) \quad k' = \left( 0, \frac{\xi_A}{A} P_A^+, \mathbf{0}_\perp \right)$$

$$P_A = \left( P_A^+, \frac{M_A^2}{P_A^+}; \mathbf{0}_\perp \right)$$

$$(l - l') = \left( -\frac{\xi_A}{A} P_A^+, \frac{AQ^2}{\xi_A P_A^+}; \mathbf{0}_\perp \right) \equiv q$$

# Higher-twist correction

Fit to JLab Hall C data (cf. PRL**105**, 212502 (2010))

- Standard HT fitting procedure:

$$F_{2A}^{\text{HT}}(\xi_A, Q^2) = \left(1 + \frac{C(\xi_A)}{Q^2}\right) F_{2A}^{\text{LT}}(\xi_A, Q^2)$$

- After Accardi *et al.* (PRD**81**, 2010) we use

$$C(\xi_A) = c_1 \xi^{c_2} (1 + c_3 \xi)$$

- Leading twist parametrization at  $x \geq 0.75$  is  $\exp(p_0 + p_1 \xi_A + p_2 \xi_A^2)$ .
- Our best fit ( $\chi^2/\text{dof} = 10$ ):

$$p_0 = -1.198 \pm 0.781$$

$$p_1 = 0.747 \pm 1.425$$

$$p_2 = -6.643 \pm 0.640$$

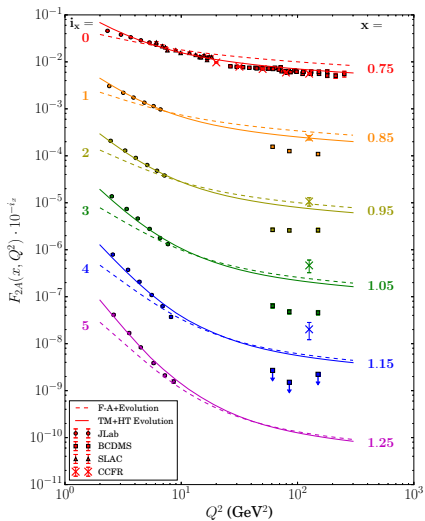
$$c_1 = 5.045 \pm 0.186$$

$$c_2 = 1.390 \pm 0.082$$

$$c_3 = -0.999 \pm 0.010$$

# Example: evolution of the $^{12}\text{C}$ structure function

- $x_A > 1$  evolution can connect JLab<sup>1</sup> measurements for  $^{12}\text{C}$  to high- $Q^2$  measurements from BCDMS<sup>2</sup> and CCFR<sup>3</sup>.
- In this case, target mass corrections and higher-twist corrections are also accounted for.
- Dashed curves are QCD evolution of a parametrization from the JLab group.
- Solid curves are QCD evolution where target mass and higher-twist corrections have been accounted for.
- The evolved curves fall between the contradictory CCFR and BCDMS data.



<sup>1</sup> Fomin *et al.*, PRL**105**, 212502 (2010)

<sup>2</sup> Benvenuti *et al.*, Z. Phys. C **63**, 29 (1994)

<sup>3</sup> Vakili *et al.*, PRD**61**, 052003 (2000)

See AF and Sargsian, [arXiv:1511.06044], for more detail.



# Summary and outlook

- nPDF formalism has other potential applications besides  $pA$  collisions:
  - ①  $eA$  scattering (inclusive and semi-inclusive) at high (EIC) energies.
  - ② Drell-Yan processes in  $pA$  and  $AA$  collisions.
- Further investigation of  $F_{2A}$  evolution must be done.
  - ① We will perform a fully theoretical calculation for  $^{12}\text{C}$  to supplement the evolution calculation from JLab measurements.
  - ② More investigation of higher twist is necessary. (Better fit? Better estimates?)
  - ③ We need **more data** over a **greater range of  $Q^2$**  at  $x > 1$ . Can 12 GeV JLab and EIC provide?