

TEST #2 - Spring 2009

TIME: 75 min.

Answer all 6 questions. Provide all reasoning and show all working. An unjustified answer will receive little or no credit. BEGIN EACH QUESTION ON A SEPARATE PAGE.

- (20) 1. (a) Define what is *ordinal exponentiation* and what is the *cofinality* of a limit ordinal λ .
(b) Prove that for any ordinals α, β, γ we have $(\alpha^\beta)^\gamma = \alpha^{\beta \cdot \gamma}$.
[You may use any results you need about ordinal arithmetic except this one, of course.]
- (20) 2. Simplify the following *ordinal arithmetic* expressions as far as possible.
(a) $4 + \omega + \omega^2$ (b) $(\omega+2) \cdot (\omega+3)$ (c) $(\omega \cdot 2 + 1)^2$.
- (15) 3. (a) Define what $A \prec B$ means and write down what the *Cantor-Bernstein Theorem* says.
(b) Let Q = Set of all rational numbers; and N = Set of all natural numbers. Prove that $Q \approx N$.
- (15) 4 (a) Give the definitions of the following *cardinal operations*: $\kappa + \mu$, $\kappa \cdot \mu$, κ^μ .
(b) Prove that for any two cardinals κ and μ , we always have
(i) $\kappa + \mu = \mu + \kappa$ and (ii) $\kappa \cdot \mu = \mu \cdot \kappa$.
- (15) 5. (a) Define what are *continuous* and *strictly increasing class-functions* from Ω to Ω . [Here Ω = class of all ordinals]
(b) Let A be any set and $P(A)$ = the power set of A . Prove that $A \prec P(A)$.
- (15) 6. (a) Write down what the *Axiom of Choice* says.
(b) Prove in ZF that $P(\omega)$, the power set of ω , can be *linearly ordered*. (Hint: The set ω is well-ordered by \in .)

1(a) (i) Ordinal exponentiation is defined by parametric transfinite recursion on β as follows: $\alpha^0 = 1$, $\alpha^{\beta+1} = \alpha^\beta \cdot \alpha$, and $\alpha^\lambda = \sup\{\alpha^\beta : \beta < \lambda\}$ if λ is a limit ordinal.

(ii) The cofinality of a limit ordinal λ is the smallest ordinal θ such that we can find a sequence of ordinals $\langle \alpha_\beta : \beta < \theta \rangle$ with $\sup\{\alpha_\beta : \beta < \theta\} = \lambda$.

(b) We will prove $(\alpha^\beta)^\gamma = \alpha^{\beta \cdot \gamma}$ by transfinite induction on γ . Let α and β be fixed and serve as parameters. If $\gamma = 0$, then we have $(\alpha^\beta)^\gamma = (\alpha^\beta)^0 = 1 = \alpha^0 = \alpha^{\beta \cdot 0} = \alpha^{\beta \cdot \gamma}$. So the result is true for $\gamma = 0$.

Now assume that the result is true for γ . Then $(\alpha^\beta)^\gamma = \alpha^{\beta \cdot \gamma}$.

$$\begin{aligned} \text{So } (\alpha^\beta)^{\gamma+1} &= (\alpha^\beta)^\gamma \cdot (\alpha^\beta) && \text{by the def. of exp.} \\ &= \alpha^{\beta \cdot \gamma} \cdot \alpha^\beta && \text{by the ind. hyp.} \\ &= \alpha^{\beta \cdot \gamma + \beta} && \text{because } \alpha^\delta \cdot \alpha^\epsilon = \alpha^{\delta + \epsilon} \\ &= \alpha^{\beta \cdot (\gamma+1)} && \text{by the def. of mult.} \end{aligned}$$

So if the result is true for γ , it will be true for $\gamma+1$.

Finally assume that the result is true for all $\gamma < \lambda$ where λ is a limit ordinal. Then

$$\begin{aligned} (\alpha^\beta)^\lambda &= \sup\{(\alpha^\beta)^\gamma : \gamma < \lambda\} && \text{by the def. of exp.} \\ &= \sup\{\alpha^{\beta \cdot \gamma} : \gamma < \lambda\} && \text{by the ind. hyp.} \\ &= \sup\{\alpha^\delta : \delta < \beta \cdot \lambda\} = \alpha^{\beta \cdot \lambda} && \text{by def. of exp.} \end{aligned}$$

since $\beta \cdot \lambda$ is a limit ordinal. So if the result is true for all $\gamma < \lambda$, it will be true of λ .

By the Principle of Transfinite Induction, the result is true for all ordinals γ . Since α and β were arbitrary, it is also true for all α and β also.

$$2(a) \quad 4 + \omega + \omega^2 = (4 + \omega) + \omega^2 = \omega + \omega^2 = \omega \cdot 1 + \omega \cdot \omega \\ = \omega \cdot (1 + \omega) = \omega \cdot \omega = \omega^2.$$

$$(b) \quad (\omega + 2) \cdot (\omega + 3) = (\omega + 2) \cdot \omega + (\omega + 2) \cdot 3 \\ = \sup\{(\omega + 2) \cdot n : n < \omega\} + (\omega + 2) + (\omega + 2) + (\omega + 2) \\ = \sup\{\omega \cdot n + 2 : n < \omega\} + \omega + (2 + \omega) + (2 + \omega) + 2 \\ = \omega \cdot \omega + \omega + \omega + \omega + 2 = \omega^2 + \omega \cdot 3 + 2$$

$$(c) \quad (\omega \cdot 2 + 1)^2 = (\omega \cdot 2 + 1)(\omega \cdot 2 + 1) = (\omega \cdot 2 + 1) \cdot \omega \cdot 2 + \omega \cdot 2 + 1 \\ = \sup\{(\omega \cdot 2 + 1) \cdot n : n < \omega\} \cdot 2 + \omega \cdot 2 + 1 \\ = \sup\{(\omega \cdot 2n) + 1 : n < \omega\} \cdot 2 + \omega \cdot 2 + 1 \\ = (\omega \cdot \omega) \cdot 2 + \omega \cdot 2 + 1 = \omega^2 \cdot 2 + \omega \cdot 2 + 1$$

3(a) (i) $A \leq B$ means There exists an injection from A to B
 $A \approx B$ means There exists a bijection from A to B
 $A < B$ means $A \leq B$ and $A \not\approx B$.

(ii) The Cantor-Bernstein Theorem: $(A \leq B \wedge B \leq A) \Rightarrow A \approx B$.

(b) Clearly $\mathbb{N} \subseteq \mathbb{Q}$. So $\mathbb{N} \leq \mathbb{Q}$ (just take $f: \mathbb{N} \rightarrow \mathbb{Q}$, $f(x) = x$ as your injection). Now any element of \mathbb{Q} can be uniquely represented in the form $q = (-1)^k \cdot m/n$ where $k = 0$ or 1 , $m \in \mathbb{N}$, $n \in \mathbb{N} - \{0\}$, $\text{g.c.d.}(m, n) = 1$, and $k = 0$ in case $m = 0$. Define $f: \mathbb{Q} \rightarrow \mathbb{N}$ by $f((-1)^k \cdot m/n) = 2^k \cdot 3^m \cdot 5^n$. Then f is clearly an injection. So $\mathbb{Q} \leq \mathbb{N}$. Hence $\mathbb{Q} \approx \mathbb{N}$ by the Cantor-Bernstein Theorem.

$$4(a) \quad \kappa + \mu = |\kappa \times \{0\} \cup \mu \times \{1\}|, \quad \kappa \cdot \mu = |\kappa \times \mu|, \quad \kappa^\mu = |\mathcal{F}(\mu, \kappa)|$$

(b) Define $f: \kappa \times \{0\} \cup \mu \times \{1\} \rightarrow \mu \times \{0\} \cup \kappa \times \{1\}$ by $f(\alpha, 0) = (\alpha, 1)$ and $f(\beta, 1) = (\beta, 0)$. Then f is a bijection. So

$$\kappa + \mu = |\kappa \times \{0\} \cup \mu \times \{1\}| = |\mu \times \{0\} \cup \kappa \times \{1\}| = \mu + \kappa$$

Define $g: \kappa \times \mu \rightarrow \mu \times \kappa$ by $g(\alpha, \beta) = (\beta, \alpha)$. Then g is a bijection. So $\kappa \cdot \mu = |\kappa \times \mu| = |\mu \times \kappa| = \mu \cdot \kappa$.

- 5(a) A class function $f: S_2 \rightarrow S_2$ is continuous if for any limit ordinal λ , $f(\lambda) = \sup \{f(\alpha) : \alpha < \lambda\}$. It is strictly increasing if $\alpha < \beta \Rightarrow f(\alpha) < f(\beta)$.
- (b) Let $\varphi: A \rightarrow P(A)$ be defined by $\varphi(a) = \{a\}$. Then φ is clearly an injection. So $A \prec P(A)$. Now suppose $A \approx P(A)$. Then we can find a bijection $f: A \rightarrow P(A)$. Let $D = \{x \in A : x \notin f(x)\}$. Then $D \subseteq A$. So $D \in P(A)$. Since f is a bijection we can find an $x_0 \in A$ such that $f(x_0) = D$. Now either $x_0 \in D$ or $x_0 \notin D$. But if $x_0 \in D$, then $x_0 \notin D$ (bec. $f(x_0) = D$). And if $x_0 \notin D$, then $x_0 \in D$ (bec. $f(x_0) = D$). So in either case we get a contradiction. Hence we cannot have $A \approx P(A)$. Thus $A \prec P(A)$.

6(a) Axiom of Choice: Let \mathcal{A} be a set of pairwise disjoint non-empty sets. Then there exists a set M which contains exactly one element of each member of \mathcal{A} .

- (b) Let A and B be distinct sets of $P(\omega)$. Then $A \neq B$. So $(A-B) \cup (B-A) \neq \emptyset$. Since ω is a well-ordered set and $(A-B) \cup (B-A)$ is a non-empty subset of ω , $(A-B) \cup (B-A)$ has a smallest element, x_0 say. Put
- $$A \prec B \quad \text{if } x_0 \in B \quad \text{and}$$
- $$B \prec A \quad \text{if } x_0 \in A.$$

Then " \prec " will be a linear ordering on $P(\omega)$. END.

[The linear order \prec will look like:

$\emptyset \prec \dots \{3\} \prec \dots \{2\} \prec \dots \dots \{1\} \prec \dots \dots \{1, 2\} \prec \dots \dots \{0\} \prec \dots \dots \{0, 1\} \prec \dots \dots \prec \omega$

\emptyset and ω will be the smallest and largest elements in $P(\omega)$ and between any two elements there will be infinitely many elements in between.]